

FMSI / LEGENT CLEARING LLC FEE SCHEDULE:

FMSI AND LEGENT PROVIDE SERVICES WHICH MAY BE CHARGED TO YOUR ACCOUNT AT THE TIME OF OR PRIOR TO THE SERVICE BEING RENDERED. FMSI MAY PARTICIPATE IN REVENUE SHARING OF SERVICE FEES SUCH AS MARGIN INTEREST, SERVICE CHARGES AND 12B-1 FEES. FEES ARE INCLUSIVE OF ANY MARKUP FMSI MAY CHOOSE TO RETAIN OVER AND ABOVE THE DIRECT COST.

DISCLOSURE OF FEES FMSI MAY CHARGE FOR ACCOUNTS HELD AT LEGENT CLEARING LLC. RATES ARE SUBJECT TO CHANGE. PLEASE CONTACT YOUR REPRESENTATIVE WITH ANY QUESTIONS.

RESTRICTED STOCK HANDLING	\$25.00
FOREIGN SECURITIES	\$85.00
WORTHLESS SECURITIES PROCESSING (INCLUDING ALL OUT OF POCKET EXPENSES)	\$30.00
LOST SECURITIES (INCLUDING ALL OUT OF POCKET EXPENSES)	\$50.00
ACCOMMODATION TRANSFERS (PER ITEM)	\$15.00
STOPS ON CERTIFICATES	AS INCURRED BY LEGENT
STOP AND REPLACE ON CERTIFICATES	AS INCURRED BY LEGENT
LEGAL STOCK TRANSFERS	\$25.00
LIMITED PARTNERSHIP CHARGES (DEPOSIT AND SETUP – ONE-TIME FEE)	\$100.00
COUPON PROCESSING	\$20.00
CASHLESS STOCK OPTIONS (INCLUDING ALL OUT OF POCKET EXPENSES)	\$25.00
BEARER BOND WITHDRAWALS (INCLUDING ALL OUT OF POCKET EXPENSES)	\$25.00
WIRE TRANSFERS	\$15.00
NON-U.S. WIRE TRANSFERS	\$30.00
OVERNIGHT CHECK DELIVERY	\$20.00
NSF CHECKS	\$35.00
STOP PAYMENTS	\$20.00
OUTGOING ACAT/ NON-ACAT	\$65.00
INITIAL YEAR IRA FEE	\$0.00
IRA ANNUAL RENEWAL FEE	\$35.00
IRA TERMINATION / TRANSFER FEE	\$30.00
KEOGH / BASIC BUSINESS PLAN FEE PER PLAN	\$60.00
KEOGH / BASIC BUSINESS PLAN FEE PER PARTICIPANT PER YEAR	\$10.00
KEOGH/ BASIC BUSINESS PLAN TERMINATION FEE PER PLAN	\$100.00
KEOGH/ BASIC BUSINESS PLAN TERMINATION FEE PER PARTICIPANT	\$25.00
TRANSFER ON DEATH REGISTRATION APPLICATION FEE	*
TRANSFER ON DEATH CHANGE / REVOCATION	*
HANDLING FEE	**\$4.75
INACTIVE ACCOUNT FEE	\$50.00
CLEARANCE SERVICES PER TRANSACTION PER ORDER	\$10.00
MARGIN RATES - VARY WITH CHANGES IN BROKER CALL RATE	

*PLEASE CONTACT YOUR REPRESENTATIVE FOR CURRENT RATES.

** EFFECTIVE 11-01-2011